

## TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A PRIOR PATENT

Docket No. 00-713-i-16

In Re Application of: Mirkin et al. Application No.: 09/976,601

Filed: Oct. 12, 2001

For: Nanoparticles having oligonucleotides attached thereto and uses therefor

The owner\*, Nanosphere, Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173 as shortened by any terminal disclaimer, of prior Patent No.6,506,564. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of any prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.				
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statements made made with the kr imprisonment, or l	I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
2. The unc	dersigned is an attorney or agent of record.			
☐ Termina	al disclaimer fee (\$ 55 – small entity ) under 37 CFR 1.20(d) is included.			
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	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name / Reg. No.	Emily Miao, Reg. No. 35,285			
Signature	)/N <sub>1</sub> )			
Date	August 12, 2004			
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).				

08/13/2004 HGUTEMA1 00000042 09976601



## APPENDIX A

ATTY	Serial No./		
Case No.	Filing Date	Inventors/Title	Status
00-653-G	U.S. 10/794,741 Filed 3/5/04	Mirkin, Letsinger, Mucic, Storhoff, Elghanian, Taton, Garamella, Li, Park/ NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREFORE	ALLOWED
00-713-В1	09/923,625 Filed 8/7/01	Mirkin, Letsinger, Mucic, Storhoff, Elghanian/ NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREFOR	ALLOWED
00-713-С	09/344,667, filed 6/25/99	Mirkin, Letsinger, Mucic, Storhoff, Elghanian/ NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREFORE	U.S. Patent No. 6,361,944, issued 3/26/02
00-713-I	U.S.S.N 09/603,830 Filed 6/26/00	Mirkin, Letsinger, Mucic, Storhoff, Elghanian, Taton; NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREFOR	U.S. Patent No. 6,506,564, issued 1/14/03
00-713-I-1	09/961,949 9/20/01	Mirkin, Letsinger, Mucic, Storhoff, Elghanian, Taton;	U.S. Patent No. 6,582,921, issued June 24, 2003

ATTY	Serial No./		1 ag
Case No.	Filing Date	Inventors/Title	Status
	<u> </u>	NANOPARTICLES	
	:	HAVING	
		OLIGONUCLEOTI	
		DES ATTACHED	
		THERETO AND	
		USES THEREFOR	
00-713-I-2	09/957,318	See 00-713-I-1	U.S. Patent No.
	9/20/01		6,759,199, issued
			7/6/04
00-713-I-3	09/957,313	See 00-713-I-1	U.S. Patent No.
	9/20/01		6,645,721, issued
			11/11/03
00-713-I-4	09/966,491	See 00-713-I-1	U.S. Patent No.
	9/28/01		6,610,491, issued
			August 26, 2003
00-713-I-5	09/966,312	See 00-713-I-1	U.S. Patent No.
	9/28/01		6,673,548, issued
			January 6, 2004
00-713-I-6	09/967,409	See 00-713-I-1	U.S. Patent No.
	9/28/01		6,740,491, issued
			May 24, 2004
00-713-I-7	09/974,500	See 00-713-I-1	U.S. Patent No.
	10/10/01		6,709,825, issued
			March 23, 2004
00.712.1.0	00/074 007	G 00 712 I 1	DENIONIC
00-713-I-8	09/974,007	See 00-713-I-1	PENDING
	10/10/01		
00-713-I-9	09/973,638	Sec. 00. 712 I. 1	ALLOWED
00-713-1-9	10/10/01	See 00-713-I-1	ALLOWED
	10/10/01		
00-713-I-	09/973,788	See 00-713-I-1	U.S. Patent No.
10	10/10/01	366 00-713-1-1	6,720,411, issued
	10,10,01		April 13, 2004
00-713-I-	09/975,062	See 00-713-I-1	U.S. Patent No.
11	10/11/01	500 00 715 1-1	6,677,122, issued
	10,11,01		January 13, 2004
			13, 2004
00-713-I-	09/975,376	See 00-713-I-1	PENDING
12	10/11/01		
00-713-I-	09/975,384	See 00-713-I-1	PENDING
13	10/11/01		
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ATTY Serial No./	
Case No.   Filing Date   Inventors/Title   St	atus
Case No. Filing Date Inventors/Title St.	atus
<b>00-713-I-</b> 09/975,498 See 00-713-I-1 ALLOW	TED
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14 10/11/01	
<b>00-713-I-</b> 09/975,059 See 00-713-I-1 ALLOW	ED
<b>15</b>   11/11/01	
<b>00-713-I-</b>   09/976,601   See 00-713-I-1   PENDIN	IG
<b>16</b> 10/12/01	
<b>00-713-I-</b> 09/976,968 See 00-713-I-1 ALLOW	ED
17 10/12/01	
<b>00-713-I-</b> 09/976,971 See 00-713-I-1 U.S. Pate	ent No.
<b>18</b>   10/12/01   6.682.89	5, issued
1/27/04	,
<b>00-713-I-</b> 09/976,863 See 00-713-I-1 PENDIN	[G
19 10/12/01	
<b>00-713-I-</b> 09/976,577 See 00-713-I-1 U.S. Pate	ent No
20   10/12/01   Sec 30-713-1-1   6.720,14	
April 13,	
<b>00-713-I-</b> 09/976,618 See 00-713-I-1 ALLOW	
21 10/12/01 See 00-713-1-1 ALLOW	ED
<b>00-713-I-</b> 09/981,344 See 00-713-I-1 U.S. Pate	4 <b>N</b> T.
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22   10/15/01   6,777,18	
August 1	7, 2004
<b>00-713-I-</b>   09/976,900   See 00-713-I-1   PENDIN	G
23   10/12/01	
<b>00-713-I-</b> 09/976,617 See 00-713-I-1 U.S. Pate	
<b>24</b>   10/12/01   6,730,26	•
May 4, 2	004
<b>00-713-I-</b>   09/976,378   See 00-713-I-1   PENDIN	G
<b>25</b>   10/12/01	
<b>00-713-i-</b> 10/410,324 See 00-713-I-1 PENDIN	G
<b>26</b> 04/10/03	
00-713-L U.S.S.N. Mirkin, Letsinger, U.S. Pate	nt No.
09/693,005 Mucic, Storhoff, 6,495,32	4, issued
Filed 10/20/00 Elghanian/ 12/17/02	•

ATTY	Serial No./		
Case No.	Filing Date	Inventors/Title	Status
		NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREFORE	
00-713-M	U.S.S.N. 09/693,352 Filed 10/20/00	Mirkin, Letsinger, Mucic, Storhoff, Elghanian/ NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREFORE	U.S. Patent No. 6,417,340, issued 7/9/02
00-714-G	U.S. 09/830,620 Filed 8/15/01	Mirkin, Nguyen/ NANOPARTICLES WITH POLYMER SHELLS	PENDING
00-715-A	U.S. 09/760,500 Filed 1/12/01	Mirkin, Letsinger, Mucic, Storhoff, Elghanian, Taton; Garamella, Li/ METHOD OF ATTACHING OLIGONUCLEOTI DES TO NANOPARTICLES AND PRODUCTS PRODUCED THEREBY	U.S. Patent No. 6,767,702, issued July 27, 2004
00-715-В	U.S. 10/716,829 Filed 11/18/03	Mirkin, Letsinger, Mucic, Storhoff, Elghanian, Taton; Garamella, Li/ METHOD OF ATTACHING OLIGONUCLEOTI DES TO NANOPARTICLES AND PRODUCTS PRODUCED THEREBY	Pending
00-1085-A	U.S.S.N.	Mirkin,Letsinger,	U.S. Patent No.

ATTY	Serial No./		
Case No.	Filing Date	Inventors/Title	Status
	09/820,279 Filed 3/28/01	etc./ METHOD AND MATERIALS FOR ASSAYING BIOLOGICAL MATERIALS	6,750,016, issued June 15, 2004
00-1085-G	U.S.S.N. 10/640,618 Filed 8/13/03	Mirkin,Letsinger, etc./ METHOD AND MATERIALS FOR ASSAYING BIOLOGICAL MATERIALS	Pending
00-1086-A	U.S. 09/903,461 Filed 7/11/01	Letsinger, Garimella/ METHOD OF DETECTION BY ENHANCEMENT OF SILVER STAINING	U.S. Patent No. 6,602,669, Filed 8/5/03
00-1272-С	U.S.S.N. 10/008,978 Filed 12/7/01	Mirkin, Letsinger, Mucic, Storhoff, Elghanian, Taton, Garimella, Li, Park, Lu/ NANOPARTICLES HAVING OLIGONUCLEOTI DES ATTACHED THERETO AND USES THEREOF	PENDING
01-565-A	USSN 10/125,194 Filed 4/18/02	Mirkin, Nguygen, Watson, Park/ OLIGONUCLEOTI DE-MODIFIED ROMP POLYMERS AND CO- POLYMERS	PENDING
01-599-A	U.S.S.N. 10/291,291 Filed 11/08/02	Storhoff/NOVEL THIOL-BASED METHOD FOR ATTACHING OLIGONUCLEOTI DES TO NANOPARTICLES	PENDING
01-661-A	U.S.S.N. 10/034,451	Mirkin, Cao, Jin/ DNA-MODIFIED	PENDING

ATTY	Serial No./		rag
Case No.	Filing Date	Inventors/Title	Status
	Filed 12/28/01	CORE-SHELL	
		AG/AU	
		NANOCRYSTALS	
01-661-C	U.S.S.N.	Mirkin, Cao, Jin/	PENDING
	10/153,483	DNA-MODIFIED	
	Filed 5/22/02	CORE-SHELL	
		AG/AU	
		NANOCRYSTALS	
01-661-E	U.S.S.N.	Mirkin, Cao, Jin/	PENDING
	10/397,579	DNA-MODIFIED	
	3/26/03	CORE-SHELL	
		AG/AU	
		NANOCRYSTALS	
01-1565-A	U.S.S.N.	Park, Taton,	PENDING
	10/266,983	Mirkin/ARRAY-	
	Filed 10/08/02	BASED	
		ELECTRICAL	
		DETECTION OF	
		DNA USING	
		NANOPARTICLE	
		PROBES	ļ
01-1633-A	U.S.S.N.	Park, Taton,	PENDING
	10/266,983	Mirkin/NANOPARI	
	Filed 10/8/02	CLES HAVING	
		OLIGONUCLEOTI	
		DES ATTACHED	
		THERETO AND	
		USES THEREFOR	
01-1705-A	U.S.S.N.	Nam, Park,	PENDING
	10/108,211	Mirkin/BIO-	
	Filed 3/27/02	BARCODES	
		BASED ON	
		OLIGONUCLEOTI	
		DE-MODIFIED	
		NANOPARTICLES	
02-338-B	USSN 10/172,428	Cao, Jin, Nam,	PENDING
	Filed 6/14/02	Mirkin/MULTICHA	
		NNEL DETECTION	
		USING	
		NANOPARTICLE	
		PROBES WITH	
		RAMAN	
		SPECTROSCOPIC	
_		FINGERPRINTS	

ATTY	Serial No./		
Case No.	Filing Date	Inventors/Title	Status
02-338-C	10/431,341	Cao, Jin, Nam,	PENDING
	5/7/03	Mirkin/MULTICHA	
		NNEL DETECTION	
		USING	
		NANOPARTICLE	
		PROBES WITH	
		RAMAN	
		SPECTROSCOPIC	
		FINGERPRINTS	
02-1227-A	10/735,357	DIRECT SNP	PENDING
	Filed 12/12/03	DETECTION WITH	
		UNAMPLIFIED	
		NUCLEIC ACID	
		USING	
		NANOPARTICLE	
		PROBES	
03-214-A	10/789,831	LABEL-FREE	PENDING
	Filed 2/27/04	GENE	
		EXPRESSION	
		PROFILING WITH	
		UNIVERSAL	
		NANOPARTICLE	
		PROBES IN	
		MICROARRAY	
		ASSAY FORMAT	
03-466-C	10/854,848	METHOD FOR	PENDING
	Filed 5/27/04	DETECTING	
		ANALYTES	
		BASED ON	
		EVANESCENT	
		ILLUMINATION	
		AND SCATTER-	
		BASED	
		DETECTION OF	
		NANOPARTICLE	
		PROBE	
		COMPLEXES	
03-666-E	10/877,750	BIOBARCODE	PENDING
	Filed 6/25/04		